

IRW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 53868US04	
In Re Application Of: Jathan D. Edwards					
Application No. 10/791,064	Filing Date 03/02/2004	Examiner AUG 11 2004 PATENT & TRADEMARK OFFICE	Customer No.	Group Art Unit 2655	Confirmation No. 2809
Title: REVERSE OPTICAL MASTERING FOR DATA STORAGE DISKS					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);					
OR					
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

53868US04

In Re Application: Jathan D. Edwards



Application No.

Filing Date

Patent Examiner

Customer No.

Group Art Unit

Confirmation No.

10/791,064

03/02/2004

2655

2809

**REVERSE OPTICAL MASTERING FOR DATA STORAGE DISKS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Signature

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 8/9/4 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Eric D. Levinson

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

Dated: 8/9/4

Eric D. Levinson, Reg. No. 35,814

Imation Corp. Legal Affairs

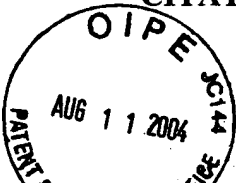
P.O. Box 64898

St. Paul, Minnesota 55164-0898

Telephone: 651/704-3604

Facsimile: 651/704-5951

CC:

<b>INFORMATION DISCLOSURE CITATION</b>  	Atty. Docket No.: 53868US04	Serial No.: 10/791,064
	Applicant(s): JATHAN D. EDWARDS	
	Filing Date: 03/02/2004	Group: 2655

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		3,565,978	02/71	Folger et al.			
		4,150,398	04/79	Kojima et al.			
		4,304,806	12/81	Anderson et al.			
		4,308,337	12/81	Roach et al.			
		4,374,077	02/83	Kerfeld			
		4,519,065	05/85	Lewis et al.			
		4,619,804	10/86	Leonard et al.			
		4,650,735	03/87	De Laat			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		0 418 897 A2	03/91	European Pat. Office				
		0 444 367 A1	09/91	European Pat. Office				
		DE 41 40 712 A1	10/92	Germany			Abstract Only	
		60-029950	02/85	Japan			Abstract Only	
		64-023440	01/89	Japan			X	

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

			F. Dill et al., "Characterization of Postive Photoresist," IEEE Transactions on Electron Devices, Vol. ED-22, No. 7, July 1975, pp. 445-452
			E. O. Keizer, "VideoDisc Mastering," RCA Review, Vol. 39, No. 1, March 1978, pp. 60-86
			P. Trefonas III et al., "New Principle for Image Enhancement in Single Layer Positive Photoresists," SPIE Vol. 771, <i>Advances in Resist Technology and Processing IV</i> , March 1987, pp. 194-210
			S. Nakamura et al., "High Density Recording for Magneto-optical Disk Drive," IEEE Transactions on Magnetics, Vol. 34, No. 2, March 1998, pp. 411-413

<b>EXAMINER</b>	<b>Date Considered</b>
-----------------	------------------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>	<b>Atty. Docket No.: 53868US04</b>	<b>Serial No.: 10/791,064</b>
	<b>Applicant(s): JATHAN D. EDWARDS</b>	
	<b>Filing Date: 03/02/2004</b>	<b>Group: 2655</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,096,563	03/92	Yoshizawa et al.			
		5,149,607	09/92	De Graaf et al.			
		5,325,353	06/94	Sasaki et al.			
		5,381,394	01/95	Yanagawa			
		5,436,885	07/95	Okumura et al.			
		5,516,469	05/96	Santoh et al.			
		5,576,918	11/96	Bar-Gadda et al.			
		5,581,539	12/96	Horie et al.			
		5,626,941	05/97	Ouano			
		5,635,267	06/97	Yamada et al.			
		5,701,288	12/97	Seong			
		5,723,033	03/98	Weiss			
		5,739,972	04/98	Smith et al.			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub- Class	Translation	
							Yes	No
		2-10536	01/90	Japan			X	
		2-150325	06/90	Japan			Abstract Only	
		2-244440	09/90	Japan			X	
		6-60441	03/94	Japan			X	
		59-193560	11/94	Japan			Abstract Only	
		8-147768	06/96	Japan			X	

**EXAMINER**

**Date Considered**

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>	<b>Atty. Docket No.: 53868US04</b>	<b>Serial No.: 10/791,064</b>
	<b>Applicant(s): JATHAN D. EDWARDS</b>	
	<b>Filing Date: 03/02/2004</b>	<b>Group: 2655</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,751,510	05/98	Smith et al.			
		5,763,037	06/98	Ohtomo et al.			
		5,889,756	03/99	Ichihara et al.			
		5,949,587	09/99	Takamizawa et al.			
		6,183,829	02/01	Daecher et al.			
		6,190,838	02/01	Kerfeld			
		6,324,139	11/01	Nakane			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub- Class	Translation	
							Yes	No
		08-306080	11/96	Japan			X	
		97097452	04/97	Japan			X	
		97138981	05/97	Japan			X	
		WO 00/48172	08/00	WIPO				

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**


**EXAMINER**

**Date Considered**

**\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**